

## 苏黎世中国董事、高级管理人员和公司责任险 2009 版附加证券交易升板除外条款

双方理解并同意，在**保险期限**内，如果**投保人**的股票由场外交易变更为主板交易（包括但不限于纽约证券交易所），则由变更主板交易后所引发与该主板交易的**有价证券**相关的**索赔**而导致的**财务损失**，**保险人**将不予赔付。当且仅当**被保险公司**与**保险人**在上述市场地位变化前就本保险单的条件扩展和所需支付的附加保险费达成一致意见，且**被保险公司**在主板交易[填写数字]天内交纳附加保险费，保险责任将溯及整个**保险期限**。收到附加保险费（含保险费税）是**保险人**承担前述保险责任的前提条件。

本附加条款与主险条款相抵触之处，以本附加条款为准，本保险合同的所有其他条款、条件和限制维持不变。

### Securities Upgrading Exclusion

If during the **Period of Insurance** the **Applicant's** listing status changed from over-the-counter market (OTCBB) to mainboard (including but not limited to New York Stock Exchange), the **Insurer** shall not be liable to make any payment of **Financial Loss** arising from any **Claim** or **Securities Claim** associated with, relating to or arising from securities trade on mainboard, unless and until the **Company** agrees with the **Insurer** and make payment of any additional premium as agreed, at latest within [input number] days following the listing on mainboard, after which cover shall apply retroactively for the full **Period of Insurance**. The payment of any additional premium (including insurance premium tax) shall be a condition precedent to any liability of the **Insurer**.

All other terms, conditions and limitations remain unchanged.